

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bruce McCorkendale et al.

Assignee: Symantec Corporation

Title: DETECTION AND BLOCKING OF MALICIOUS CODE

Patent No.: 7,472,418 Issued: December 30, 2008

Serial No.: 10/643,564 Filing Date: August 18, 2003

Examiner: Nadia Khoshnoodi Group Art Unit: 2137

Docket No.: SYMC1032

Monterey, CA  
April 10, 2009

ATTENTION: CERTIFICATE OF CORRECTIONS BRANCH  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

REQUEST FOR ENTRY OF  
CERTIFICATE OF CORRECTION

**Certificate**  
APR 20 2009  
of Correction

Sir:

Please enter the enclosed Certificate of Correction (PTO Form 1050) in the above patent.

The errors sought to be corrected were made by

☒ The Patent and Trademark Office as explained below. Thus, no fee is required for the Certificate of Correction pursuant to 37 CFR §1.322.

☐ Applicant(s) (at least in part). See next section for explanation. This appropriate fee under 37 CFR §1.323 has been authorized below.

Attached as Exhibit A (1 page) is a copy of the PTOL-85 Fee Transmittal form, as mailed with the Issue Fee Payment on November 19, 2008. Attached as Exhibit B (2 pages) is a copy

APR 20 2009

of the Notice of Recordation of Assignment Document, mailed on March 17, 2004.

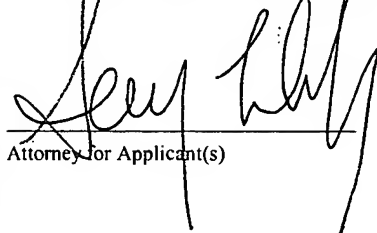
Exhibits A and B show that the city of the Assignee, as printed on the patent coversheet, should be "Cupertino" and not "Cuptertino". These Exhibits also show that this error was made by the U.S. Patent and Trademark Office.

Though Applicants believe that no fee is required, the Commissioner is hereby authorized to charge any fees required for consideration and entry of the enclosed documents, and to credit any overpayment of fees to Deposit Account No. 50-0553.

Please direct all inquiries concerning this request to the undersigned attorney.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 10, 2009.

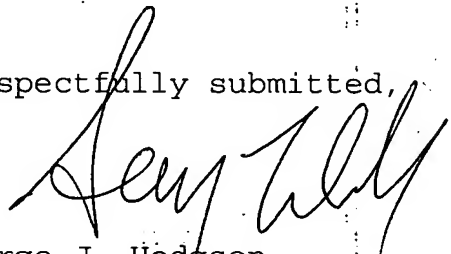


Attorney for Applicant(s)

April 10, 2009

Date of Signature

Respectfully submitted,



Serge J. Hodgson  
Attorney for Applicant(s)  
Reg. No. 40,017  
(831) 655-0880

**APR 20 2009**

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,472,418  
APPLICATION NO. : 10/643,564  
ISSUE DATE : December 30, 2008  
INVENTOR(S) : Bruce McCorkendale and William E. Sobel

Page 1 of 1

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the coversheet, at Item 73, replace "Cuptertino" with --Cupertino--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

GUNNISON, McKAY & HODGSON, L.L.P.  
1900 Garden Road, Suite 220  
Monterey, CA 93940

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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## PART B - FEE(S) TRANSMITTAL

Exhibit A

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

34350 7590 09/16/2008

GUNNISON, MCKAY & HODGSON, L.L.P.  
1900 GARDEN ROAD, SUITE 220  
MONTEREY, CA 93940

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Serge J. Hodgson	(Depositor's name)
<i>Serge J. Hodgson</i>	(Signature)
Nov. 19, 2008	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/643,564	08/18/2003	Bruce McCorkendale	SYMC1032	4932

TITLE OF INVENTION: DETECTION AND BLOCKING OF MALICIOUS CODE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1440</del> \$1510	\$0	\$0	<del>\$1440</del> \$1510	12/16/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
KHOSHNOODI, NADIA	2137	726-024000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Gunnison, McKay & Hodgson, L.L.P.  
2  
3 Serge J. Hodgson

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Symantec Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Cupertino, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0553 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Serge J. Hodgson*

Date Nov. 19, 2008

Typed or printed name Serge J. Hodgson

Registration No. 40,017

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 17, 2004

PTAS

GUNNISON, MCKAY & HODGSON, L.L.P.  
SERGE H. HODGSON  
1900 GARDEN ROAD  
GARDEN WEST OFFICE PLAZA, SUITE 220  
MONTEREY, CA 93940



\*102535809A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/18/2003

REEL/FRAME: 014422/0988  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MCCORKENDALE, BRUCE

DOC DATE: 08/15/2003

ASSIGNOR:

SOBEL, WILLIAM E.

DOC DATE: 08/15/2003

ASSIGNEE:

SYMANTEC CORPORATION  
20330 STEVENS CREEK BOULEVARD  
CUPERTINO, CALIFORNIA 95014

SERIAL NUMBER: 10643564

FILING DATE: 08/18/2003

PATENT NUMBER:

ISSUE DATE:

Exhibit B

APR 20 2004

014422/0988 PAGE 2

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

APR 20 2009